



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

In re application of: DELURGIO et al.

Attorney Docket No.: DEM1P004

Application No.: 09/741,957

Examiner: COLBERT, Ella

Filed: December 20, 2000

Group: 3693

Title: FINANCIAL MODEL ENGINE

Confirmation No: 7258

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on January 3, 2007, in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EQ 227406362 US**, addressed to: Mail Stop: RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Signed: /Daniel M. Maffeo/  
Daniel M. Maffeo

**PRELIMINARY AMENDMENT**

Mail Stop: RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**January 3, 2007**

Dear Sir:

In response to the Office Action mailed August 31, 2006, please amend the above identified patent application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 4 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.